

Financial Adviser Profile

Overview

Lucas Barsby is an experienced and highly educated financial adviser having worked as an adviser since 2007, and in the financial planning industry since 2005. Having worked through the global financial crisis, COVID and other difficult periods in investment markets, Lucas specialises in assisting clients improve their finances over many years and enjoys getting to know the interesting clients he works with as people, not numbers.

Lucas Barsby is a Sub-Authorised Representative of Rockhopper Wealth Pty Ltd, Corporate Authorised Representative No. 1278944. Authorised Representative No. 1002772.

Rockhopper Wealth Pty Ltd is a growing local financial advice business based in Gawler and Mawson Lakes, serving northern Adelaide as well as clients from Barossa Valley and Yorke Peninsula. Rockhopper Wealth takes its name from the penguin, which make lifelong relationships with their partners. They survive and thrive through challenging environments which just like today's investment markets.

Qualifications

Lucas Barsby holds a Masters in Applied Finance, Graduate Diploma in Financial Planning and a Bachelor of Economics and his education meets and exceeds the competency requirements under ASICs Regulatory Guide RG 146 and Financial Adviser Standards and Ethics Authority (FASEA).

Professional Memberships

Lucas Barsby is a Practitioner Member of Financial Advice Association of Australia (FAAA) and abides by their code of professional conduct and ethics.

Authorisations

Lucas Barsby is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products & Life Risk Insurance Products
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services
- Deposit & Payment Products
- Retirement Savings Accounts ("RSA") products
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government
- Superannuation.



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Rockhopper Wealth Advice Fees and Charges

Lucas Barsby will be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you during your initial meeting.

Lucas' hourly rate for Financial Services is \$330 incl. GST and you will be notified of the time involved prior to the commencement of any work if applicable.

Lucas's fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Lucas provides the option of ongoing reporting and advisory services. This fee is different for each client depending on the complexity and risk of the work involved, and ranges between \$3,630 - \$26,400 p.a. incl. GST. You will be notified of the cost involved prior to the commencement of any ongoing services.

Rockhopper Wealth pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Lucas Barsby is a Director of Rockhopper Wealth and will receive a salary/benefit from this company.

Other Benefits Lucas Barsby May Receive

From time to time Lucas Barsby may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than \$300. A register listing the details of any non-cash benefits between \$100 and \$300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

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